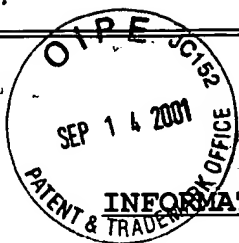


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FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Attorney Docket  
23754-X

Serial Number  
09/835,523

Applicant

WU et al.

Filing Date  
April 17, 2001

Group Art Unit  
1614

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

TNT	✓AA	Zouikri, Chemical Abstracts, Vol. 129:216907, 1998.
I	✓AB	Didierjean, Chemical Abstracts, Vol. 128:137758, 1997.
TNT	✓AC	Senoo, Chemical Abstracts, Vol. 125:295188, 1996.
I	✓AD	Bock, Chemical Abstracts, Vol. 123:340467, 1995.
I	✓AE	Kao, Chemical Abstracts, Vol. 123:83100, 1995.
I	✓AF	Didierjean, Chemical Abstracts, Vol. 124:146778, 1995.
I	✓AG	Pinnen, Chemical Abstracts, Vol. 121:301283, 1994.
I	AH	Casini, Chemical Abstracts, Vol. 120:270095, 1993.
TNT	AI	Brunner, Chemical Abstracts, Vol. 119:95312, 1993.
I	✓AJ	Lecoq, Chemical Abstracts, Vol. 119:181221, 1993.
I	✓AK	Bock, Chemical Abstracts, Vol. 117:111477, 1992.
I	✓AL	Hosoda, Chemical Abstracts, Vol. 118:255342, 1992.
TNT	✓AM	Pissiotas, Chemical Abstracts, Vol. 116:214522, 1991.
I	✓AN	Lecoq, Chemical Abstracts, Vol. 118:39364, 1992.
TNT	✓AO	Sato, Chemical Abstracts, Vol. 117:145248, 1992.
I	✓AP	Kume, Chemical Abstracts, Vol. 91:106452, 1991.
TNT	✓AQ	Onodera, Chemical Abstracts, Vol. 116:20783, 1991.

Examiner

*[Signature]*

Date Considered

8/23/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
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TNT	✓BA	Kume, Chemical Abstracts, Vol. 113:211999, 1990.
1	✓BB	Kume, Chemical Abstracts, Vol. 90:13073, 1990.
	✓BC	Henke, Chemical Abstracts, Vol. 112:179895, 1989.
	✓BD	Kume, Chemical Abstracts, Vol. 110:173245, 1988.
	✓BE	Hagiwara, Chemical Abstracts, Vol. 109:110447, 1988.
	✓BF	Haga, Chemical Abstracts, Vol. 108:94578, 1987.
TNT	✓BG	Haga, Chemical Abstracts, Vol. 105:20519, 1986.
	✓BH	Nagano, Chemical Abstracts, Vol. 86:63224, 1986.
	✓BI	Haga, Chemical Abstracts, Vol. 86:60572, 1986.
	✓BJ	Nagano, Chemical Abstracts, Vol. 86:32884, 1986.
	✓BK	Sumitomo, Chemical Abstracts, Vol. 102:132057, 1984.
TNT	✓BL	Kobayashi, Chemical Abstracts, Vol. 101:191944, 1984.
	✓BM	Nagano, Chemical Abstracts, Vol. 84:31794, 1984.
	✓BN	Nagano, Chemical Abstracts, Vol. 99:194984, 1983.
	✓BO	Kornet, Chemical Abstracts, Vol. 95:62107, 1981.
	✓BP	Dutta, Chemical Abstracts, Vol. 89:191427, 1978.
TNT	✓BQ	Wakabayashi, Chemical Abstracts, Vol. 88:50904, 1977.

Examiner

*Alton*

Date Considered

8/23/01

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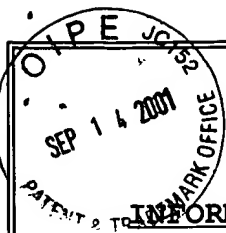
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	✓ CB	Wakabayashi, Chemical Abstracts, Vol. 85:473445, 1976.
	✓ CC	Dutta, Chemical Abstracts, Vol. 83:193683, 1975.
	✓ CD	Zinner, Chemical Abstracts, Vol. 58:4577c, 1962.
	✓ CE	Luttringhaus, Chemical Abstracts, Vol. 54:4606h, 1959.
TNT	✓ CF	Rink, Chemical Abstracts, Vol. 54:560d, 1959.
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April 17, 2001

Group Art Unit

1614

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
TNT	✓ AA	5,750,690	05/12/98	BROGER et al.	544	234	
	✓ AB	5,714,485	02/03/98	LUMMA et al.	514	247	
	✓ AC	5,684,151	11/04/97	COMBS	544	224	
	✓ AD	5,643,908	07/01/97	SUGIMARA et al.	514	247	
	✓ AE	5,310,738	05/10/94	NAKAYAMA	544	224	
	✓ AF	5,149,872	09/22/92	MESSINA et al.	564	131	
	✓ AG	5,034,051	07/23/91	KUME et al.	71	92	
	✓ AH	4,743,687	05/10/88	LAWTON et al.	540	487	
	✓ AI	4,659,711	04/21/87	HUANG et al.	514	247	
	✓ AJ	4,593,094	06/03/86	NAGANO et al.	544	224	
TNT	✓ AK	4,581,220	04/08/86	NELSON et al.	423	658.5	

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## FOREIGN PATENT DOCUMENTS

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	AL						Yes No
	AM						Yes No
	AN						Yes No
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	AP						Yes No

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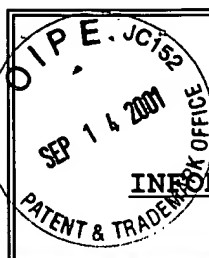
Examiner

*W. W. W.*

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	FORM PTO-1449		Attorney Docket 23754-X	Serial Number 09/835,523
	Applicant WU et al.			
	Filing Date April 17, 2001	Group Art Unit 1614		

**INFORMATION DISCLOSURE CITATION****U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
TNT	BA	4,561,880	12/31/85	SHIMANO et al.	71	92	
1	BB	4,399,136	08/16/83	HASSALL et al.	424	250	
TNT	BC	3,706,743	12/19/72	MOON	260	250	
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
	BL						Yes No
	BM						Yes No
	BN						Yes No
	BO						Yes No
	BP						Yes No

**OTHER** (Including Author, Title, Date, Pertinent Pages, etc.)

	BR		
	BS		
	BT		

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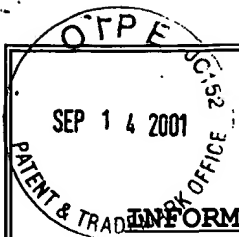


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23754-X

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09/835,523

Applicant  
WU et al.

Filing Date  
April 17, 2001

Group Art Unit  
1614

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
TNT	✓	AA	5,614,547	03/25/97	HAMILTON et al.	514 423	06/07/95
	✓	AB	5,859,031	01/12/99	HAMILTON et al.	514 343	05/21/96
	✓	AC	5,795,908	08/18/98	HAMILTON et al.	514 423	01/23/97
	✓	AD	5,798,355	08/25/98	STEINER et al.	514 248	06/07/95
	✓	AE	5,696,135	12/09/97	STEINER et al.	514 317	05/28/96
	✓	AF	5,843,960	12/01/98	STEINER et al.	514 317	01/23/97
	✓	AG	5,846,981	12/08/98	STEINER et al.	514 317	01/23/97
	✓	AH	6,022,878	02/08/00	STEINER et al.	514 317	07/10/98
	✓	AI	5,801,197	09/01/98	STEINER et al.	514 548	05/13/96
	✓	AJ	5,721,256	02/24/98	HAMILTON et al.	514 330	02/12/97
TNT	✓	AK	5,968,957	10/19/99	HAMILTON et al.	514 343	02/23/98

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		Document Number	Date	Country	Class	Sub-Class	Trans-lation
TNT	✓	AL	WO 99/10340	03/04/99	PCT		Yes
	✓	AM	WO 96/06846	03/07/96	PCT		Yes
	✓	AN	WO 94/12474	06/09/94	PCT		No
	✓	AO	EP 488 258	06/03/92	EPO		Yes
TNT	✓	AP	DE 197 42 263	09/25/97	Germany		No

**OTHER** (Including Author, Title, Date, Pertinent Pages, etc.)

TNT	AR	Gudasheva, T.A. et al., "Synthesis and anti-amnesic activity of a series of N-acylprolyl-containing dipeptides," <u>Eur. J. Med. Chem.</u> , 1996, 31, 151-157.
TNT	AS	Dawson, T. et al., "Immunosuppressant FK506 enhances phosphorylation of nitric oxide synthase and protects against glutamate neurotoxicity," <u>Proc. Natl. Acad. Sci. USA</u> , 1993, 90, 9808-9812.
TNT	AT	Dawson, T. et al., "The immunophilins, FK506 binding protein and cyclophilin, are discretely localized in the brain: relationship to calcineurin," <u>Neuroscience</u> , 1994, 62, 569-580.

Examiner *W. Brown*

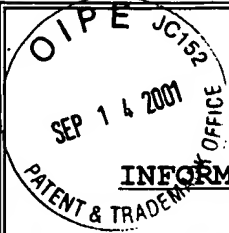
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	Applicant WU et al.	
	Filing Date April 17, 2001	Group Art Unit 1624

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
TNT	✓ BA	5,786,378	07/28/98	HAMILTON et al.	514	423	09/25/96
	✓ BB	5,990,131	11/23/99	HAMILTON et al.	514	330	08/01/97
	✓ BC	5,801,187	09/01/98	LI et al.	514	365	09/25/96
	✓ BD	5,846,979	12/08/98	HAMILTON et al.	514	311	02/28/97
	✓ BE	6,054,452	04/25/00	HAMILTON et al.	514	212	07/09/98
	✓ BF	5,935,989	08/10/99	HAMILTON et al.	514	423	12/31/96
	✓ BG	5,958,949	09/28/99	HAMILTON et al.	514	318	12/23/97
	✓ BH	5,874,449	02/23/99	HAMILTON et al.	514	330	12/31/96
	✓ BI	5,898,029	04/27/99	LYONS et al.	514	12	04/12/94
	✓ BJ	5,780,484	07/14/98	ZELLE et al.	514	316	11/13/96
TNT	✓ BK	5,744,485	04/28/98	ZELLE et al.	514	318	01/23/95

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		Document Number	Date	Country	Class	Sub-Class	Trans-lation
TNT	✓ BL	WO 98/55091	10/12/98	PCT	—	—	Yes
	✓ BM	WO 98/37885	03/09/98	PCT	—	—	Yes
	✓ BN	WO 98/29117	09/07/98	PCT	—	—	Yes
	✓ BO	WO 98/24805	11/06/98	PCT	—	—	Yes
TNT	✓ BP	WO 98/20893	05/22/98	PCT	—	—	Yes

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

TNT	BR		Gold, B. et al., "Regulation of the Transcription Factor c-JUN by nerve growth factor in adult sensory neurons," <u>Neuroscience Letters</u> , 1993, 154, 129-133.
TNT	BS		Gold, B. et al., "Regulation of aberrant neurofilament phosphorylation in neuronal perikarya. IV. Evidence for the involvement of two signals," <u>Brain Research</u> , 1993, 626, 23-30.
TNT	BT		Gold, B. et al., "The immunosuppressant FK506 increases functional recovery and nerve regeneration following peripheral nerve injury," <u>Restorative Neurology and Neuroscience</u> , 1994, 6, 287-296.

Examiner

*W. Brown*

Date Considered

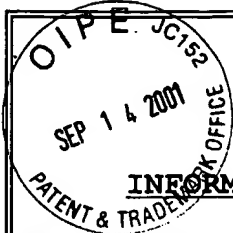
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FORM PTO-1449

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23754-XSerial Number  
09/835,523

Applicant

WU et al.

Filing Date

April 17, 2001

Group Art Unit

1624

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Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
TNT	✓ CA	5,665,774	09/09/97	ARMISTEAD et al.	514	533	03/08/93
	✓ CB	5,536,737	07/16/96	KOBAYASHI et al.	514	365	11/17/93
	✓ CC	5,840,736	11/24/98	ZELLE	514	332	11/13/96
	✓ CD	5,811,434	09/22/98	ZELLE	514	307	11/13/96
	✓ CE	5,717,092	02/10/98	ARMISTEAD et al.	544	129	03/29/96
	✓ CF	5,714,510	02/03/98	PROCTOR	514	423	06/05/95
	✓ CG	5,703,088	12/30/97	SHARPE et al.	514	278	06/04/92
	✓ CH	5,654,332	08/05/97	ARMISTEAD	514	533	06/08/95
	✓ CI	6,037,370	03/20/00	ARMISTEAD	514	533	06/08/95
	✓ CJ	5,620,971	04/15/97	ARMISTEAD et al.	514	212	03/25/94
TNT	✓ CK	5,543,423	08/06/96	ZELLE et al.	514	332	01/23/95

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
TNT	✓ CL	WO 98/20892	05/22/98	PCT	—	—	Yes
	✓ CM	WO 98/20891	05/22/98	PCT	—	—	Yes
	✓ CN	WO 98/13343	02/04/98	PCT	—	—	Yes
	✓ CO	WO 96/41609	12/27/96	PCT	—	—	Yes
TNT	✓ CP	WO 96/40633	12/19/96	PCT	—	—	Yes

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TNT	CR		Gold, B. et al., "Multiple signals underlie the axotomy-induced up-regulation of c-JUN in adult sensory neurons," <u>Neuroscience Letters</u> , 1994, 176, 123-127.
TNT	CS		Gold, B. et al., "The immunosuppressant FK506 increases the rate of axonal regeneration in rat sciatic nerves" <u>Neuroscience</u> , 1995, 15, 7509-7516.
TNT	CT		Hamilton, G. et al., "Neuroimmunophilin Ligands as Novel Therapeutics for the Treatment of Degenerative Disorders of the Nervous System," 1-71.

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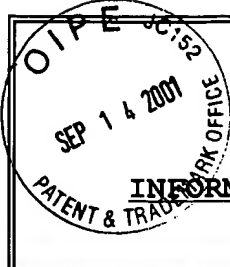
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	<b>FORM PTO-1449</b>	
	Attorney Docket 23754-X	Serial Number 09/835,523
	Applicant WU et al.	
Filing Date April 17, 2001	Group Art Unit 1624	

**INFORMATION DISCLOSURE CITATION****U.S. PATENT DOCUMENTS**

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TNT	✓	DA	5,516,797	05/14/96	ARMISTEAD et al.	514 548	04/11/94
	✓	DB	5,506,243	04/09/96	ANDO et al.	514 345	04/28/94
	✓	DC	5,504,197	04/02/96	SCHUBERT et al.	536 23.5	03/22/93
	✓	DD	5,453,437	09/26/95	SCHOHE et al.	514 424	09/09/93
	✓	DE	5,359,138	10/25/94	TAKEUCHI et al.	562 567	06/29/92
	✓	DF	5,342,942	08/30/94	JAEN et al.	544 250	03/29/93
	✓	DG	5,330,993	07/19/94	ARMISTEAD et al.	514 330	07/02/91
	✓	DH	5,232,923	08/03/93	FUKAZAWA et al.	514 237.5	04/22/91
	✓	DI	5,215,969	06/01/93	SPRINGER et al.	514 21	12/09/91
	✓	DJ	5,214,034	05/25/93	NAKAYAMA et al.	514 159	12/03/91
TNT	✓	DK	5,192,773	03/09/93	ARMISTEAD et al.	514 315	07/02/90

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TNT	✓	DL	WO 95/35367	12/28/95	PCT		Yes
	✓	DM	WO 95/35308	12/28/95	PCT		Yes
	✓	DN	WO 94/15900	07/21/94	PCT		Yes
	✓	DO	WO 93/14072	07/22/93	PCT		Yes
TNT	✓	DP	WO 92/19593	11/12/92	PCT		No

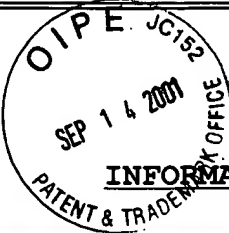
**OTHER** (Including Author, Title, Date, Pertinent Pages, etc.)

TNT	DR	Kitamura et al., "Suppressive Effect of FK-506, a Novel Immunosuppressant, Against MPTP-Induced Dopamine Depletion in the Striatum of Young C57BL/6 Mice," <u>J. Neuroimmunology</u> , 1994, 50, 221-224.
TNT		Lyons, W. E. et al., "Immunosuppressant FK506 promotes neurite outgrowth in cultures of PC 12 cells and sensory ganglia," <u>Proc. Natl. Acad. Sci. USA</u> , 1994, 91, 3191-3195.
TNT		Lyons, W. E. et al., "Neuronal Regeneration Enhances the Expression of the Immunophilin FKBP-12," <u>J. Neuroscience</u> , 1995, 15(4), 2985-2994.

Examiner	Date Considered
<i>W. E. Lyons</i>	8/23/02

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	Applicant WU et al.	
	Filing Date April 17, 2001	Group Art Unit 1624

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date	
TNT	✓	EA	5,166,317	11/24/92	WALLACE et al.	530	350	10/31/88
	✓	EB	5,002,964	03/26/91	LOSCALZO	514	423	06/15/88
	✓	EC	5,128,483	07/07/92	TRYBULSKI	548	531	05/08/91
	✓	ED	5,629,325	05/13/97	LIN et al.	514	318	06/06/96
	✓	EE	4,431,644	02/14/84	SMITH et al.	424	246	03/08/82
	✓	EF	4,766,110	08/23/88	RYAN et al.	514	19	10/31/86
	✓	EG	5,321,009	06/14/94	BAEDER et al.	514	4	11/14/91
	✓	EH	5,002,962	03/26/91	LOSCALZO	514	410	06/15/88
	✓	EI	5,541,189	07/30/96	LULY et al.	514	291	04/18/95
TNT	✓	EJ	5,527,907	06/18/96	OR et al.	540	456	10/26/94
		EK						

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		Document Number	Date	Country	Class	Sub-Class	Translation
TNT	✓	EL	WO 92/04370	03/19/92	PCT		Yes
	✓	EM	WO 92/00278	01/09/92	PCT		Yes
	✓	EN	WO 88/09789	12/15/88	PCT		Yes
	✓	EO	WO 92/21313	12/10/92	PCT		Yes
TNT	✓	EP	WO 96/40140	12/19/96	PCT		Yes

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

TNT	ER		Ryba et al., "Cyclosporine A Prevents Neurological Deterioration of Patients with SAH - A Preliminary Report," <u>Acta Neurochirurgica</u> , 1991, 112, 25-27.
TNT	ES		Shiga et al., "Cyclosporin A Protects Against Ischemia-Reperfusion Injury in the Brain," <u>Brain Research</u> , 1992, 595, 145-148.
TNT	ET		Snyder, S. et al., "Immunophilins and the Nervous System", <u>Nature Medicine</u> , 1995, 1, 32-37.

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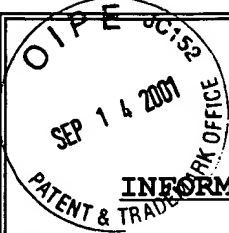
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 <p><b>FORM PTO-1449</b></p> <p><b>INFORMATION DISCLOSURE CITATION</b></p>	Attorney Docket 23754-X	Serial Number 09/835,523
	Applicant WU et al.	
	Filing Date April 17, 2001	Group Art Unit 1624

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	FA						
	FB						
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## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
TNT	✓FL	WO 98/08827	03/05/98	PCT	---	---	Yes
	✓FM	EP 805 147	11/05/97	EPO	---	---	Yes
	✓FN	DE 3636278	10/24/86	Germany	---	---	No
	✓FO	WO 97/49695	12/31/97	PCT	---	---	Yes
TNT	✓FP	WO 97/48681	12/24/97	PCT	---	---	Yes

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

TNT	FR		Steiner, J. et al., "High brain densities of the immunophilin FKBP colocalized with calcineurin," <u>Nature</u> 1992, 358, 584-587.
TNT	FS		Steiner, J. et al., "Nonimmunosuppressive ligands for neuroimmunophilins promote nerve extension <i>in vitro</i> and <i>in vivo</i> ," <u>Society for Neuroscience Abstracts</u> , 1996, 22, 297.13.
TNT	FT		Steiner, J. et al., "Neurotrophic immunophilin ligands stimulate structural and functional recovery in neurodegenerative animal models," <u>Proc. Natl. Acad. Sci. USA</u> , 1997, 94, 2019-2024.

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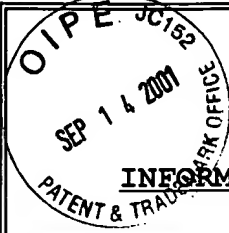
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## FOREIGN PATENT DOCUMENTS

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TNT	✓	GL	WO 97/20554	12/06/97	PCT	—	Yes
	✓	GM	WO 97/36869	09/10/97	PCT	—	Yes
	✓	GN	WO 97/23458	03/07/97	PCT	—	Yes
	✓	GO	WO 97/23202	03/07/97	PCT	—	Yes
TNT	✓	GP	WO 96/20949	11/07/96	PCT	—	Yes

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

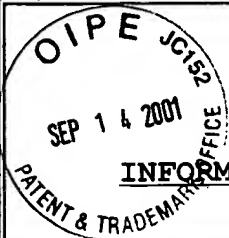
TNT	GR	Steiner, J. et al., "Neurotrophic actions of nonimmunosuppressive analogues of immunosuppressive drugs FK506, rapamycin and cyclosporin A," <u>Nature Medicine</u> , 1997, 421-428.
TNT	GS	Teichner et al., "Treatment with Cyclosporine A Promotes Axonal Regeneration in Rats Submitted to Transverse Section of the Spinal Cord," <u>Int'l. J. Brain Research &amp; Neurobio.</u> , 1993, 34(3), 343-349.
TNT	GT	Kozikowski, A.P. et al., "Alzheimer's Therapy: An Approach to Novel Muscarinic Ligands Based Upon the Naturally Occurring Alkaloid Himbacine," <u>Bioorg &amp; Med. Chem. Lett.</u> , 1992, 2, 797-802.

Examiner <i>W. Brown</i>	Date Considered 8/23/02
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## U.S. PATENT DOCUMENTS

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	HB					
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	HJ					
	HK					

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub-Class	Translation
TNT ✓	HL WO 96/20725	11/07/96	PCT	✓	✓	Yes
✓	HM WO 92/11850	07/23/92	PCT	✓	✓	Yes
✓	HN WO 92/11245	07/09/92	PCT	✓	✓	Yes
✓	HO EP 476933	03/25/92	EPO	✓	✓	Yes
TNT ✓	HP WO 97/38008	10/16/97	PCT	✓	✓	Yes

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

TNT	HR	Nicolaides, E.D. et al., "Modified Di- and Tripeptides of the C-Terminal Portion of Oxytocin and Vasopressin as Possible Cognition Activation Agents," <u>J. Med. Chem.</u> 1986, 29, 959-971.
TNT	HS	DeRuiter, Jack et al., "In Vitro Aldose Reductase Inhibitory Activity of Substituted N-Benzenesulfonyl glycine Derivatives," <u>J. Pharm. Sci.</u> , 1987, 76(2), 14152.
TNT	HT	Caufield, C. et al., "Macrocyclic Immunomodulators," <u>Ann. Rep. Med. Chem.</u> , 1989, 195-204.

Examiner

*W. L. L.*

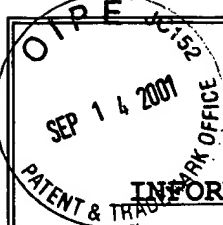
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**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
TMT ✓	IL	WO 98/08814	03/02/98	PCT	—	—	Yes
I ✓	IM	WO 93/13066	07/08/93	PCT	—	—	Yes
I ✓	IN	EP 443983	08/28/91	EPO	—	—	No
TMT ✓	IO	EP 405994	01/02/91	EPO	—	—	Yes
	IP						Yes No

**OTHER** (Including Author, Title, Date, Pertinent Pages, etc)

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09/835,523

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Group Art Unit

April 17, 2001

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## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
<i>INT</i>	AL	WO 01/02362	01/11/01	PCT			Yes
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

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